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The Forearm Flap – Indications, Appropriate Selection, Complications and Functional Outcome

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1. Introduction

A correct indication and specific knowledge in planning and harvesting free transplants are needed to minimize morbidity and maximize quality of life (QOL). Since the introduction of microvascular surgery in the 1970s, continuous surgical efforts and research were made to optimize the techniques. Consequently, there exist distinct technical modifications and alternatives that give the surgeon the possibility of adequate technique and flap-design selection dependent on the patient and situation. In the 1990s, the free forearm flap became the most utilised technique for free tissue transfer in the head and neck, with success rates of over 90% (Soutar & McGreagor, 1986, Swanson et al., 1990). The forearm flap was described by Yang and colleagues in 1981 for the first time and has become one of the most used transplants for reconstruction in the head and neck and a widely used transplant for other indications as well. Various complications and functional impairments at the donor site have been reported so far which are presented together with techniques to minimize them, and together with the characteristics, indications and design options of this flap.

2. Historical description

In 1978, Yang Guofan und Gao Yuzhi harvested a fasciocutaneous radial free flap in the Shenyang Military Hospital for the first time. This transplant got the nickname 'Chinese flap' and became the standard transplant for many indications. In 1981, they described a study of 60 patients with a single transplant loss only. Mühlbauer et al. (1982) were the first who reported upon this transplant outside of China. Stock and contributors raised an innervated flap in 1981 and in 1983, Biemer and Stock utilised an osteocutaneous pedicled transplant for thumb reconstruction. Lovie reported upon an ulnar-based forearm flap in 1984 that was classified as alternative to avoid vascular complications at the donor site by Dost and Rudofsky (1993) (Figure 1). Soutar (1983) proposed the forearm flap for reconstruction of the oral cavity, and thereafter the flap became the most utilised technique for intraoral reconstruction. Partecke et al. described a fat fascia only transplant in 1986 which results in a cosmetic appealing scar line. The defect at the recipient site was covered with a split-thickness graft. Finally, tendons and muscles were included in the transplant as well

(Cavanagh et al., 1991). To improve the donor site morbidity, Webster and Robinson (1995) as well as S.C. Chang et al. (1996) described a suprafascial raised forearm flap in the 1990s but there were no differences demonstrable concerning the sensory outcome. Wolff and colleagues (1995) described a prefabricated fascial-split-thickness flap, and Rath and contributors (1997) widened the technical varieties by introducing a prelaminated fasciomucosal flap that was raised after six weeks. Interesting are the work of Costa and colleagues (1993) who used silicon moulds, silicone tubes and split-thickness grafts to reconstruct mouth, nose or ear, and the work of Pribaz und Fine (1994) who provided auricular cartilage into the flap to reconstruct the nose. Besides titanium mesh together with a free forearm flap can be used for reconstruction in the head and neck (Hashikawa et al., 2006; Kubo et al., 2009).



Fig. 1. The ulnar forearm free flap after harvesting and covering the donor defect with split-thickness skin graft intraoperative and three months later

To choose the functional and aesthetic most adequate and for the patient least stressful transplant, all designs fasciocutaneous/cutaneous, musculocutaneous/muscle, osteocutaneous/osteomusculocutaneous should be considered. Musculocutaneous flaps are superior to fasciocutaneous flaps for deep, poor vascularized and infected defects. Table 1 summarizes the characteristics and indications for the most adequate forearm flap designs.

transplant design	characteristics	indications
faciocutaneous transplant	<i>standard transplant with the most indications and described recipient sites</i>	defects of the oral cavity; a modeling in the glossoalveolaris sulcus is easier when the mandible is preserved tongue reconstruction for defects > 50%; superficial tongue defects, especially the oral part pharynx reconstruction; also when a muscle flap was lost before middle-sized defects of the skull base defects of the midface and orbit oronasal fistulas associated with palate defects pretibial defects hand- and arm reconstruction heel defects
suprafascially elevated transplant	<i>the remaining fascia decreases wound healing and functional impairment improved cosmetic result , but not improved sensory outcome</i>	defects of the oral cavity
with split-thickness skin prefabricated transplant	<i>improved cosmetic result at the forearm about 10-15% shrinking at the recipient site prolonged therapy</i>	back of the hand, because of a declined tendency for oedema and impaired function compared to the palm intraoral defects
prelaminated fasciomucosal transplant	<i>high compatibility at the recipient site prevention of xerostomia no reepitheliation and scar tissue formation at the recipient site silicon sheets allow an expansion of about 30-50% prolonged therapy</i>	defects of the oral cavity
innervated transplant	<i>improved sensory potency prevention of aspiration</i>	tongue reconstruction; especially the oral part poor sensory potency, e.g. after trigeminus resection trauma with nerve damage palm and sole penile and urethral construction

transplant design	characteristics	indications
osteocutaneous transplant	<i>bone up to a length of 12 cm is harvestable</i>	mandibular reconstruction for defects of up to 9 cm length; especially defects of the ramus and those with small bone and large soft-tissue defects
teno-musculocutaneous transplant	<i>robust transplant, but increased donor site morbidity harvesting a single muscle, in most cases the brachioradialis muscle, is possible as well</i>	tongue reconstruction reconstruction of the floor of the mouth external defects infection, e.g. osteomyelitis hand and elbow defects
fat-fascia transplant	<i>highly vascularized tissue cosmetic and functional result at the donor site not the adequate transplant for exposed recipient sites, when coverage with split-thickness graft is necessary</i>	transplant to improve filling and sliding; especially for the back of the hand hand and elbow oral cavity
pedicled transplant	<i>length of the incision is reduced possibility of local anesthesia but a flap fixation for about 14 days is necessary</i>	defects of the hand or arm skin defects

Table 1. Aspects of selecting the adequate transplant design

3. Important anatomical variations

Important anatomical variations in regards with the free forearm flap transfer were reported, and should be described briefly. The raised forearm flap area should not extend beyond the antecubital fossa and the radial or ulnar borders to avoid complications and sensory impairment. Yang et al. (1981) described a forearm flap of 35x15 cm, but the dimensions of the forearm transplant are limited by the bifurcation of the forearm arteries at the level of the antecubital fossa.

Both, the radial and the ulnar artery participate in the blood supply of the palmar arches but show in only 27-35% equal supply to the hand and fingers (Coleman & Anson, 1961; Jaschintski, 1897).

In 4,31 % (McCormack, 1953), the radial artery possesses an unusual course: a dorsal course in the distal third (Otsuka & Terauchi, 1991), a deep course beneath the pronator teres muscle (Small & Millar, 1985) and a superficial course on the brachioradialis muscle (Saski et al., 1999). These variations are explained by the origination of the radial artery from the anterior interosseous artery and the superficial brachial artery, respectively. In those cases a precise examination of the forearm vessels and its dominance is necessary preoperatively. Mc Cormack (1953) described in a study with 750 bodies, the origination of the radial artery from the axillary artery in 2.13%, in 5.7% a superficial brachial artery that courses medial to the biceps muscle, in single cases a superficial radial artery and in 4.43% a median artery. Besides, McCormack found

an origination of the ulnar artery from the axillary artery in 0.93%. A median artery originates from the brachial artery and runs through the two bellies of the pronator teres muscle. A superficial ulnar artery is found in about 2-9% (Devansh MS, 1996; McCormack, 1953; Weathersby 1956). It runs on the flexor muscles but beneath the palmaris longus muscle and the flexor carpi ulnaris muscle. The last muscle can be absent in these cases. Radial or ulnar artery dominance can be a hint for presence of a superficial ulnar artery or median artery, which can be used for elevation instead of the dominant artery (Bell et al., 2011; Davidson et al., 2009).

The venous drainage of the forearm is guaranteed equally by the subcutaneous veins and the venae comitantes. Consequently, the subcutaneous veins can be preserved or serve for a vein graft. A transplant based on the deep venous system shows advantages in older patients (Weinzweig et al., 1994) and avoids the risk provided by veins venipunctured a few days or weeks ago (Hallock, 1986) and facilitates the prevention of cutaneous nerve damage. The superficial venous system shows high variety in size, dominance and course. Numerous anastomoses exist between the superficial veins, the deep veins and the deep and superficial venous system.

Boutros (2000) described that the lateral antebrachial cutaneous nerve supplies 61.8% of the potential flap area (range 48.3-71.6%), the superficial radial nerve 34.6% (range 26.8-44.1%) and the medial antebrachial cutaneous nerve 33.8% (range 30.5-38.9%).

4. Characteristics

The distinct qualities made the forearm flap to the workhorse in head and neck reconstruction. It is possible to place the flap more proximal or distal without risking the vitality of the flap. The forearm flap provides constant anatomy, is simple and rapid to harvest, possesses many kinds of alternatives in supplying arteries, veins and nerves and shows good vascularisation that results in high vitality and tolerance to radiotherapy (I.A. McGregor & F.M. McGregor, 1986; Soutar & Tanner, 1986). In this regard, this flap excels by its long and large-caliber vascular pedicle and nerves permitting a contralateral anastomosis and the by-passing of vascular defects, even after a neck dissection. The relative large diameter of 2 mm protects from thrombosis and the vessels show seldom sclerotic changes. But de Bree et al. reported a sclerotic impaired radial artery which precluded an anastomosis (DeBree et al., 2004). The flap is thin explaining its pliability, contourability, consistent volume and surface over time. Together with the possibility of harvesting innervated flaps and the relative few hair growth, these are the main reasons for satisfactory aesthetic and intraoral results. Ahcan et al. (2000) described high sensory potency compared to other flaps. The hair growth on the forearm shows some variety, whereas the ulnar side possesses less hair growth in general. To optimize the aesthetic outcome, skin color, texture, hair growth and skin thickness should be evaluated.

The ulnar artery is a little bit shorter than the radial artery. Advantages consist in a less exposed skin area, in a defect that is easier to close, less hair growth and less risk of nerve damage or numb areas. Becker und Gilbert (1988) described a flap based on the dorsal ulnar artery, which originates from the ulnar artery 2-4 cm proximal to the os pisiforme and has a diameter of 0.8-1.2 mm. This variation can be utilised for a fasciocutaneous pedicled flap of 10x5 cm in size, but has a relative short vessel length. The advantages and disadvantages of the forearm flap are summarized in Table 2.

advantages	disadvantages
constant anatomy	discontent of the cosmetic outcome of this exposed area is possible
several altenatives in arteries, veins and nerves	necessity of a preoperative doppler sonography to guarantee the blood supply to the hand
excellent vascularisation: the lengthh of the artery is 10-18 cm, the diameter about 2 mm, almost no sclerotic changes;→important at surgically difficult recipient sites	color differences between the skin of the forearm and the face
flap thickness may be varied by flap placement more distal or proximal	a longer, more pretentious and exhausting operation compared to a local defect closure
simple and rapid to harvest proximal as well as distal	scar tissue fixation between the recipient site and the transplant may occur
thin→no airway obstruction	
pliability, contourability: folding in sandwich technique is possible	
consistent volume and surface over time	
skin with high sensory (protecting) potency	
tolerance to radiation therapy	
infections like osteomyelitis or a osteoradionecrosis often show primary wound healing because of excellent vascularisation	
several skin islands can be raised at the perforators	

Table 2. Advantages and disadvantages of the forearm flap

5. Indications

The forearm serves for a free flap transplant and a pedicled flap as well. Because of the above mentioned advantages and in Table 1 summarized qualities and characteristics, the forearm flap represents the first therapy option for various kinds of surgical indications with a high overall success rate (Table 3. Complications at the recipient site). It is a highly utilised flap at pretentious recipient sites like the oral cavity, the palate, after a trauma or a tumor resection. The forearm flap replaced the pectoralis major transplant in tongue reconstruction, especially for the oral part of the tongue (Schustermann et al., 1991). The forearm flap particularly serves for the reconstruction of superficial defects. It is used for jaw reconstruction or penile and urethral reconstruction and for coverage of pretibial defects as well, as it is a thin transplant (Biemer, 1988; T.S. Chang and Hwang, 1984). An innervated radial forearm flap is raised with the lateral antebrachial cutaneous nerve and an ulnar forearm flap with the medial antebrachial cutaneous nerve. Innervated flaps could show a faster and increased sensory recovery (Santamaria et al., 1998). Nerve fibers with normal ultrastructure can be found at the recipient site immunohistochemically, in contrast to Waller degeneration and nerve fiber loss in non-innervated flaps (Katou et al., 1995). Boyd et al. (1994) and Dubner et al. (1992) could show that innervated transplants result in an

increased sensory capacity of the flap, even improved to the surrounding tissue. The flap should not be raised, if one palmar arch is absent, if there exists an absolute artery dominance or if one forearm artery is missing. Bone should not be included, if it shows osteoporotic changes.

Complications at the recipient site	
Total	1016 26 41-3922 33
transplant loss	3-13% 7 13 16 25 30 31 33 36 41
partial transplant loss	116 22 -3% 5 17
thrombosis	2% 16-9% 22 36
obstruction of vein grafts	9% 19
hematoma/seroma	421 33-7% 16 24
infection	416-12% 33 41
dehiscence	416 41-12% 33
fistula formation	pharyngeal: 18-32% 5 15 17 18 29 38 42 /86% close spontaneously ¹ oral: 05 36-9% 16 33 35 /83% close spontaneously ¹
stricture, stenosis	81 20-30% 15
reoperations	324-19% 37

Table 3. Complications at the recipient site (References are listed under Annexes 9.1 References Table 3)

6. Complications, function loss at the donor site and techniques to minimize

Correct planning and elevation prsupposed, there will be no clinically relevant limitatations in strength, motion and hemodynamics in the forearm and hand after free forearm flap transfer. Sensory and cosmetic outcome is perceived as non-disturbing (Ciuman et al., 2007). The complication rates and the rates of function loss at the donor site are summarized in Table 4.

To optimize the functional and aesthetic outcome at the donor site, different techniques and tests can be applied. A preoperative Doppler sonography is suggested before raising the forearm flap (Dost & Rudofsky, 1993; Dost, 2001). Cases of acute ischemia of the hand were described, although a preoperative assessment with the Allen's test was performed. An absence of a forearm vessel, one palmar arch (in about 4,5%) (Partecke & Buck-Gramcko, 1984), or an absolute dominance of one vessel can lead to an inadequate blood supply of the forearm and hand. Only in those cases, vascular diseases or young patients (Kropfl et al., 1995), a vein graft seems to be profitable (Meland et al., 1993). Heller et al. (2004) described a patient with finger necrosis months after the operation, caused by an absent deep palmar arch, and the subsequent reconstruction of the radial artery. Circia-Llorens et al. (1999) could prove that the remaining forearm vessels, especially the anterior interosseous artery, show an increase in diameter and flow. It could be shown that this vessel forms an anastomosis with the vessel stump of the harvested artery.

Complications at the donor site	
total patients with complications	14-33% ^{16 37 41}
delayed wound healing at the donor site	suprafascial transplant: 0-6-% ^{2 24} fasciocutaneous transplant: 8-24% ^{3 16 21 32 37 44}
necrosis above a tendon	3-16-13% ^{5 21 32}
hematoma/seroma	2-16-7% ³⁷
infection	13% ³⁷
radius fracture in osteocutaneous flaps	8-43% ^{3 7 8 10 32 41 43}
Function loss and long-time results at the donor site	
fasciocutaneous: intact range of wrist motion in 94.4% and free forearm rotation in 97.4% ¹⁹ osteocutaneous: intact range of wrist motion in 89-90% ³⁴ after fractures impaired range of motion in up to 50% ³	
impaired muscle strength	0-16% ^{11 32 39 40} ; after fractures: 50% ^{3 32}
unsightly scar formation ¹⁹	unstable scar 10.5% level differences 46.8% pigmentation disturbance 58.4% strong plaster over 18.7%
mean circumference loss in fasciocutaneous flaps	1.3% ¹⁹
discontent	2-28% ^{11 24 27 28 32 41}

Table 4. Frequencies of complications and function loss at the donor site (References are listed under Annexes 9.2 References Table 4)

Wound healing problems can result in impaired functional outcome. A limited wrist and finger motion and decreased muscle strength can result from graft necrosis, exposed tendons, and subsequent adherent scar formation (Kröpfl et al.). A careful coverage of the tendons with flexor muscles guarantees a plain wound for the split-thickness graft. The paratenon should be preserved, the flap can be placed more proximally and the arm should be immobilized in extension to achieve an optimal wound healing. If muscle or bone is included in the flap, the wound healing is delayed and the risk of wound healing problems is increased. Vacuum-technique (Argenta & Morykwas, 1997) can assist wound healing in complicated cases. A short-time hyperalimentation should be considered in tumor patients as well.

A careful preparation, together with an oblique incision to avoid dead space, especially when thick subcutaneous tissue is present, avoid hematoma and seroma formation and leads to an improved healing of the split-thickness graft.

The fracture rate after an osteocutaneous ulnar or radial transplant varies between 8 and 43% but can be decreased by physiotherapy, harvesting not more than one third of the bone, performing a „boat-shaped„ osteotomy, that decreases the stress concentration effect by 5% (Meland et al., 1992), and immobilizing the arm in extension for 6-8 weeks (Partecke & Buck-Gramcko, 1984). A control radiography should be performed before and after the operation.

All different kinds of objective (quantitative, qualitative, dissociated) and subjective sensory impairment were described subsequently to the free forearm flap transfer. But in general, the patient states that he is not affected in his daily activities. Table 5 summarizes the frequencies of disturbed sensory modalities and qualities after free forearm flap transfer.

	Type	Frequency	
		initial	final
quantitative	hypoesthesia, hypopathia, hypoalgesia	16-75% ^{3 5 9 11 12 21 24 32 41 43}	0 ²³ - 32% ^{11 32} 77.1 ⁴⁴ - 86.5% ¹⁹ of the defects covered with split-thickness grafts 8,6 % of those areas are anesthetic ⁴⁴
	hyperesthesia, hyperalgesia, hyperpathia (neuroma)	5 ³ -14% ^{1 11}	1 ¹⁹ - 10% ^{3 11 32} 8.6% ⁴⁴ of the defects covered with split-thickness grafts
qualitative	allesthesia	sporadically, < 3%	only 10 ¹¹ -12.4% ¹⁹ of the patients have a two-point discrimination on the defects covered with split-thickness grafts
	causalgia/reflex sympathetic dystrophy	sporadically, < 3%	sporadically, < 3%
dissociated	temperature discrimination	cold intolerance with improvement with time 0-32% ^{1 3 6 7 11 12 14 24 32 43 44} (climate dependency) single cases of heat intolerance ³⁹	
subjective	itching	initially manifesting subjective sensory dysfunction often signals nerve regeneration or nerve ingrowth	14 ¹² - 19.6% ^{11 19}
	dysesthesia hypersensitiveness		10 ¹¹ -40.6% ¹⁹ 29.5%, but in only 1,1% pronounced ¹⁹
	scar pain		3 ¹¹ -36%; 6.5% of them are distinct or strong ¹⁹

Table 5. Frequencies of sensory impairment at the donor site after fasciocutaneous forearm flap transfer (References are listed under Annexes 9.3 References Table 5)

Initially, sensory disturbances can be found in 17-75% but decrease during the next months. Hypersensitiveness, paresthesias and dysesthesias can signal sensory regeneration. However, hyperesthesia and neuralgia could signal nerve section, but decrease in the following months, too. Together with pain due to neuroma formation or causalgia, they are difficult to treat, what underlines the importance of careful preparation and good vascularisation to prevent perineural scar formation and assist nerve regeneration. Richardson et al. (1997) described four neuromas in a group of 86 patients making a surgical neuroma excision necessary (Anthony et al., 1994). The nerve endings should be covered with muscle and not come into contact with the split-thickness skin graft. Although it is not possible to preserve the cutaneous nerves in each case, e.g. the lateral antebrachial cutaneous nerve or the superficial radial nerve, an ulnar-based flap and the limited dimension to the radial or ulnar border can improve sensory outcome (Lovie et al., 1984).

It is not surprising that women are more pretentious with regard to the aesthetic outcome at the forearm. Alternatives for achieving the optimal cosmetic result are discussed in Table 1 and the following paragraph. Hülsbergen-Krüger et al. (1996) described in their group of 267 patients after closing the defect with split-thickness graft, reduced pigmentation in 43.4%, increased pigmentation in 15%, level differences > 0.1 cm in 46.8%, but in only 12% >0.4 cm, an unstable scar in 10.5% and an adherence of the defect in 18.7%. Adequate compression, first with dressings and later with compression stockings, and the application of 2 mm metal plates can assist the wound healing.

7. Closure of the donor site

The most utilised technique to close the defect at the donor site is the coverage with 0.2-0.6 mm split-thickness skin grafts. Lutz and colleagues described a success rate of 98% compared with 84% in full skin grafts (Lutz et al., 1999). However, if the wound is not plain, e.g. above a tendon, opposite results can be found as well. Other studies showed a complete loss of the split-thickness skin graft in 8 (Evans et al., 1994) -16% (Richardson et al., 1997), a partial loss in 16-35% (Bardsley et al., 1990; A.D. McGregor, 1987; Meland et al., 1993, Richardson et al., 1997; Swanson et al., 1990; Timmons et al., 1986), and a loss of split-thickness skin grafts in suprafascial elevated flaps in 0-4% (Avery et al., 2001, Chang et al., 1996, Lutz et al., 1999). Patients are more content with full skin grafts than with split-thickness grafts: 92% to 57% (Lutz et al., 1999). Defects up to 4x8 cm in size can be closed with a V-Y transposition flap (Elliot et al., 1996). Enough skin should be disposable to avoid limitations in wrist extension, chronic lymphatic edema, sensory disturbance of the forearm or necrosis. Another alternative of closure is skin expansion that can be primary (Bardsley et al., 1990; Herndl & Mühlbauer, 1986) or secondary. Because of wound healing problems in about 30%, Hallock (1988) recommends for the secondary skin expansion a coverage with split-thickness skin graft, in the first instance. After six weeks, it is possible to begin the expansion. A secondary shrinking and a thinning out of the subcutaneous tissue needs to be considered. The skin area of the harvested transplant should never be expanded as a shrinking at the recipient site would be the consequence. The flap preparation should begin from the region opposite to the expanded area to avoid shrinking during the operation. However, a disturbance of the microcirculation with venous congestion might still occur. Dehiscence after expansion was described in up to 40% (Bootz et al., 1993; Lovie et al., 1984), but other studies showed complication rates of less than 10% (Makitie et al., 1997).

8. Conclusion

Microvascular surgery often presents the only possibility to reach satisfactory functional, and cosmetic outcomes and to achieve acceptable quality of life for reconstruction in the head and neck. Due to distinct characteristics the forearm flap is one of the most used transplants for reconstruction in the head and neck and a widely used transplant for other indications as well. Correct planning and elevation presupposed the flap success rates average at least 90% with no relevant limitations in strength, motion and hemodynamics in the forearm or hand and non-disturbing sensory and cosmetic outcome at the donor site.

9. Annexes

9.1 References Table 3

Complications at the recipient site

9.2 References Table 4

Frequencies of complications and function loss at the donor site

9.3 References Table 5

Frequencies of sensory impairment at the donor site after fasciocutaneous forearm flap transfer

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